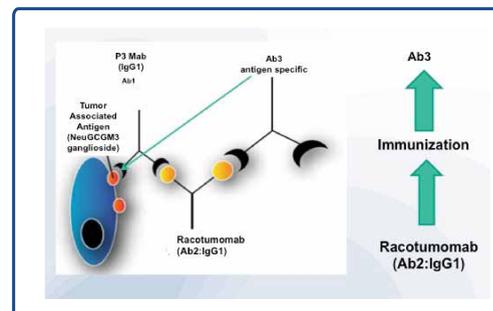


Active Specific Immunotherapy with Racotumomab in the Treatment of Advanced Non Small Cell Lung Cancer (NSCLC)

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Background: Gangliosides, especially NeuGc-GM3, are an attractive target for cancer immunotherapy. They do not express in normal human cells but are overexpressed in several solid tumors including NSCLC and are involved in tumor development and growth. Racotumomab is therapeutic vaccine that induces an immune response against NeuGc-containing gangliosides, sulfatides and other antigens expressed in several human tumors but not in normal tissues. Previous phase I and II trials in melanoma, breast and lung cancer have shown the low toxicity and high immunogenicity of Racotumomab.



Methods: Multicenter, randomized, placebo controlled, double blind clinical trial in patients with advanced (IIIB and IV) NSCLC who had an ECOG status ≤ 2 and had achieved partial or complete response or disease stabilization after completion of onco-specific treatment. 176 patients were randomized 1:1 to Placebo or Racotumomab. Initially 1 dose was administered every 14 days (induction period, 5 doses in total), followed by 1 dose every 28 days (maintenance period) until patient refusal or worsening of ECOG status.

Results:

Baseline characteristics of the patients:

| Characteristics | Vaccine N=88 | Placebo N= 85 |
|---------------------|---------------|-----------------|
| Age Mean (range) | 62 (45-70) | 61.9 (40-86) |
| Gender n (%) | | |
| Female | 21 (23.8%) | 36 (42.3%) |
| Male | 67(76.1%) | 49(57.6%) |
| ECOG PS n (%) | | |
| 0 | 41 (46.6%) | 38 (44.7%) |
| 1 | 45 (51.1%) | 43 (50.6%) |
| 2 | 2 (2.3%) | 4 (4.7%) |
| Race n (%) | | |
| Caucasian | 74 (84.1%) | 65 (76.5%) |
| African-american | 13 (14.8%) | 11 (12.9%) |
| Other | 1 (1.1%) | 9(10.6%) |
| Smoker n (%) | 20 (22.7%) | 14(16.4%) |
| Former smoker n (%) | 64 (72.7%) | 68 (80.0%) |
| non smoker | 4(4.5%) | 3 (3.5%) |

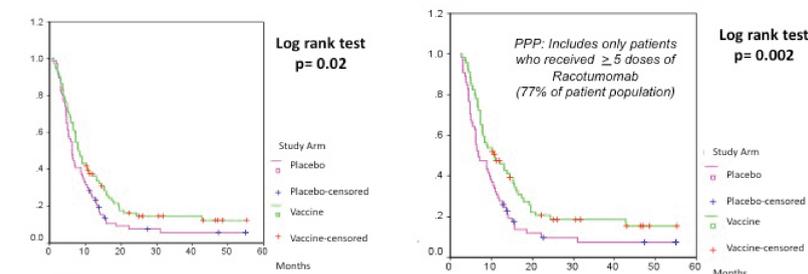
| Characteristics n (%) | Vaccine N=88 | Placebo N= 85 |
|----------------------------------|--------------|---------------|
| Tumor Histology | | |
| Squamous cell carcinoma | 32 (36.3%) | 34(40.0%) |
| Adenocarcinoma | 27 (30.6%) | 27 (31.7%) |
| Large Cell Carcinoma | 17 (19.3%) | 15(17.6%) |
| NSCLC (Other) | 12 (13.6%) | 9 (10.5%) |
| Disease Stage | | |
| IIIB | 54 (61.3%) | 44 (51.7%) |
| IV | 34(38.6%) | 41(48.2%) |
| First-line Treatment | | |
| CT | 88(100%) | 85(100%) |
| RT | 55(62.5%) | 40 (47.0%) |
| First line Chemotherapy drugs: | | |
| Platinum compounds | 88(100%) | 85(100%) |
| Response to first-line treatment | | |
| CR | 3 (3.4%) | 4(4.7%) |
| PR | 42 (47.7%) | 46 (54.1%) |
| SD | 43 (48.9%) | 35 (41.1%) |

Safety: The most common adverse events were expected mild reactions at the injection site (pain and itching). No differences were observed between both groups.

| AE | Racotumomab | % | Placebo | % | Total | % |
|---------------------------------------|-------------|------|---------|------|-------|------|
| Burning at injection site | 225 | 21.1 | 199 | 21.2 | 424 | 21.1 |
| Bone pain | 108 | 10.1 | 94 | 10.0 | 202 | 10.1 |
| Pain at injection site | 95 | 8.9 | 67 | 7.1 | 162 | 8.2 |
| Cough | 88 | 8.2 | 65 | 6.9 | 153 | 7.6 |
| Dyspnea | 56 | 5.3 | 42 | 4.5 | 98 | 4.8 |
| Asthenia | 48 | 4.5 | 45 | 4.8 | 93 | 4.6 |
| Local erythema | 39 | 3.7 | 39 | 4.2 | 78 | 3.9 |
| Anorexia | 27 | 2.5 | 37 | 3.9 | 64 | 3.2 |
| Vomiting-nausea | 28 | 2.6 | 16 | 1.7 | 44 | 2.2 |
| Induration | 17 | 1.6 | 25 | 2.7 | 42 | 2.1 |
| Headache | 25 | 2.3 | 16 | 1.7 | 41 | 2.0 |
| Hypersensitivity in the limb injected | 21 | 2.0 | 15 | 1.6 | 36 | 1.8 |
| Fever | 16 | 1.5 | 18 | 1.9 | 34 | 1.7 |

Overall Survival:

Overall Survival (OS) Analyses



| OS (ITT) | | | | OS (PPP) | | | |
|---------------------|------|--------|--------|---------------------|------|--------|--------|
| Arm | Mean | Median | Events | Arm | Mean | Median | Events |
| Racotumomab (n= 88) | 15.7 | 8.3 | 73 | Racotumomab (n= 69) | 18.9 | 10.9 | 54 |
| Placebo (n= 85) | 10.6 | 6.3 | 77 | Placebo (n= 65) | 11.4 | 6.9 | 58 |

| OS Rate | OS (ITT) | | | | OS Rate | OS (PPP) | | | |
|-------------|----------|------|------|------|-------------|----------|------|------|------|
| | 6 m | 12 m | 18 m | 24 m | | 6 m | 12 m | 18 m | 24 m |
| Racotumomab | 68 | 38 | 23 | 17 | Racotumomab | 83 | 48 | 29 | 22 |
| Placebo | 55 | 24 | 11 | 7 | Placebo | 63 | 28 | 13 | 8 |

Conclusions:

Immunization with Racotumomab is safe. There is an OS benefit for Racotumomab, both in the ITT and PPP analyses. Survival benefit appears to be increased when the patient's clinical condition allows completion of the induction period of vaccination.